

DKT. NO.: 30436.11US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Linsley et al.	Examiner:	P. Gambel
Serial No.:	08/219,200	Group Art Unit:	1806
Filed:	March 29, 1994		
Title:	LIGAND FOR CD28 RECEPTOR ON B CELLS AND METHODS		

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Bristol-Myers Squibb Company, a corporation organized and existing under the laws of the State of New Jersey, having a place of business at Princeton, New Jersey 08543-4000, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s), of the patent application identified above.
- The assignment was recorded in the Patent and Trademark Office on September 4, 1991, at Reel 5860, Frame(s) 0304 (copy attached).
- B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:
1. From: To:
- The document was recorded in the Patent and Trademark Office at Reel ,
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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Oct. 28, 1997

By: Joseph M. Sorrentino
Name: Joseph M. Sorrentino, Esq.
Title: Vice-President and Counsel Biotechnology,
Patents
Bristol-Myers Squibb Company



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 10/22/91

TO:

SHELDON AND MACK

201 SOUTH LAKE AVENUE, STE. 800

PASADENA, CA 91101

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS, ON THIS NOTICE, PLEASE SEND A REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:

LINSLEY, PETER S.

DOC DATE: 08/19/91

ASSIGNOR:

LEDBETTER, JEFFREY A.

DOC DATE: 08/19/91

ASSIGNOR:

DAMLE, NITIN K.

DOC DATE: 08/19/91

ASSIGNOR:

BRADY, WILLIAM

DOC DATE: 08/19/91

RECORDATION DATE: 09/04/91 NUMBER OF PAGES 007 REEL/FRAME 5860/0304

DIGEST :ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

BRISTOL-MYERS SQUIBB COMPANY

3005 FIRST AVENUE

SEATTLE, WASHINGTON 98121

SERIAL NUMBER 7-722101

FILING DATE 06/27/91

PATENT PATENT

ISSUE DATE 00/00/00

PATENT
7794**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Linsley et al.

Serial No.: 07/722,101

Filed: June 27, 1991

For: LIGAND FOR CD28 RECEPTOR
ON B CELLS AND METHODS

Group Art Unit:

Examiner:

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RECEIVED

RECORDATION OF ASSIGNMENTHonorable Commissioner of
Patents and Trademarks
Washington, DC 20231

FOR RECORDATION: Assignment dated August 19 and 21, 1991, from inventors Peter S. Linsley, Jeffrey A. Ledbetter, Nitin K. Damle and William Brady to BRISTOL-MYERS SQUIBB COMPANY. Sheldon & Mak Check No. 2126 for \$ 8.00 is attached to cover the recordation fee.

Please charge any additional fees or credit any overpayment to Deposit Account No. 19-2090. A copy of this recordation request is enclosed.

Respectfully submitted,
SHELDON & MAKCharles Berman
Reg. No. 29,249

Dated: September 4, 1991

SHELDON & MAK
201 South Lake Avenue, Suite 800
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R05860 INW304

PATENT
7794ASSIGNMENT

This Assignment as dated herein below, by Peter S. Linsley, of 2430 9th St. West, Seattle, Washington, 98119; Jeffrey A. Ledbetter of 306 Northwest 113th Place, Seattle, WA 98177; Nitin K. Dangle, of 11717 S.E. 60th Place, Renton Washington, 98056; and William Brady of 618 219th Place S.W., Bothell, Washington, 98021 to BRISTOL-MYERS SQUIBB COMPANY, a corporation, Assignee, having a place of business at 3005 First Avenue, Seattle, WA 98121.

WHEREAS, Assignors have invented a new and useful LIGAND FOR CD28 RECEPTOR ON B CELLS AND METHODS, for which an application for United States Letters Patent has been filed on June 27, 1991, under Serial Number 07/722,101; and

WHEREAS, Assignors believe themselves to be the original, joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications

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directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

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IN WITNESS WHEREOF, Assignors have executed this
Assignment on the dates as written.

Dated: 08/991

ASSIGNOR

Peter S. Linsley

On this 19 day of August, the year 1991,
before me personally appeared Peter S. Linsley personally
known to me or proved to me on the basis of satisfactory
evidence to be the person whose name is subscribed to this
instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and
affixed my official seal the day and year in this certificate
first above written.

Tawny K. Randall
NOTARY PUBLIC

TAWNY K RANDALL
Notary Public
STATE OF WASHINGTON
My Commission Expires
August 25, 1993

REEL 5860 FRAME 307

PATENT
7794Dated: Aug 19, 1991

ASSIGNOR

Jeffrey A. Ledbetter
Jeffrey A. Ledbetter

On this 19 day of AUGUST, the year 1991,
before me personally appeared Jeffrey A. Ledbetter personally
known to me or proved to me on the basis of satisfactory
evidence to be the person whose name is subscribed to this
instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and
affixed my official seal the day and year in this certificate
first above written.

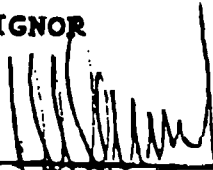
Tawny K. Randall
NOTARY PUBLIC

TAWNY K RANDALL
Notary Public
STATE OF WASHINGTON
My Commission Expires
August 25, 1993

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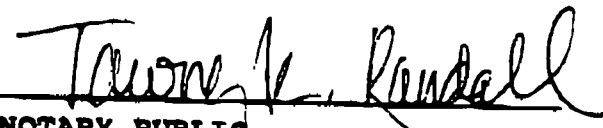
PATENT
7794Dated: 8-19-91

ASSIGNOR


Nitin K. Damle

On this 19 day of August, the year 1991,
before me personally appeared Nitin K. Damle personally known
to me or proved to me on the basis of satisfactory evidence to
be the person whose name is subscribed to this instrument, and
acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and
affixed my official seal the day and year in this certificate
first above written.


NOTARY PUBLIC

TAWNY K RANDALL
Notary Public
STATE OF WASHINGTON
My Commission Expires
August 26, 1993

IN 5860 IN 309

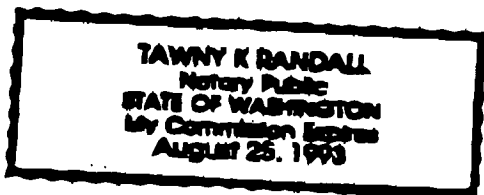
PATENT
7794Dated: 8/21/91

ASSIGNOR

William Brady
William Brady

On this 21 day of August, the year 1991,
before me personally appeared William Brady personally known
to me or proved to me on the basis of satisfactory evidence to
be the person whose name is subscribed to this instrument, and
acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and
affixed my official seal the day and year in this certificate
first above written.

Tawny K. Randall
NOTARY PUBLIC

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